



Established: 1975

Dr. Rammanohar Lohia Avadh University, Ayodhya

RESEARCH POLICY 2024



Dr. Rammanohar Lohia Avadh University, Ayodhya
Research Policy-2024

1. Research Policy

Dr. Rammanohar Lohia Avadh University is committed to promote faculty members and research scholars to do excellent research for societal benefits. This policy is to foster a culture of impactful and innovative research that contributes to the advancement of knowledge, addresses critical local and global challenges. Also it aims to enrich both the academic community and society at large.

The key components of the research policy are:

- i. Knowledge Advancement:** To promote and facilitate research activities that push the boundaries of existing knowledge in various disciplines and stimulates intellectual curiosity, encourages critical thinking, and supports the pursuit of new insights and discoveries.
- ii. Societal Impact:** To emphasize research that addresses pressing societal issues, engages with real-world problems, and produces tangible outcomes that benefit communities, industries, and public at large.
- iii. Interdisciplinary Collaboration:** To create opportunities for researchers to connect, share ideas, and work together to develop comprehensive solutions that transcend traditional disciplinary boundaries.
- iv. Research Ethics and Integrity:** To establish guidelines and practices so that research is conducted ethically, responsibly, transparently, and with the utmost integrity.
- v. Capacity Building:** To provide support and resources to nurture the growth of researchers at all the levels, including faculty members, early-career researchers, and students.
- vi. Innovation and Entrepreneurship:** To cultivate an environment that encourages the translation of research outcomes into practical applications, innovations, and entrepreneurial ventures. Provide support for researchers interested in commercializing their ideas and collaborating with industry partners.
- vii. Global Engagement:** To foster international collaborations, partnerships, and exchanges to facilitate the exchange of knowledge, ideas, and expertise on a global scale.
- viii. Continuous Improvement:** To regularly review and update the research policy to align with the vision of the University, emerging trends, societal needs, and advancements in



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research methodologies.

- ix. Measurable Outcomes:** To establish clear metrics and indicators to assess the impact and effectiveness of the research policy, track progress and make informed decisions for policy enhancement.

2. Objectives

Specific objectives of the research policy are:

- i.** To develop the ecosystem for quality research work and publications.
- ii.** To initiate collaborations with national and international institutes/Universities for developing state-of-the art technologies relevant to different disciplines.
- iii.** To promote ethical values in research and ensure that research in the University remains focused on positive aspects and in no way even potentially, it relates to any disturbance in social, communal or regional harmony.
- iv.** To develop an interactive research environment by promoting conferences, seminars, and workshops.
- v.** To encourage faculty members to formulate specific research projects based on addressing current societal challenges.
- vi.** To promote inter-departmental, inter-disciplinary original quality research activities for the country's identity-upliftment in cultural and intellectual values as per NEP 2020 guidelines.
- vii.** To recognize faculty members and students both for their significant research outcomes.

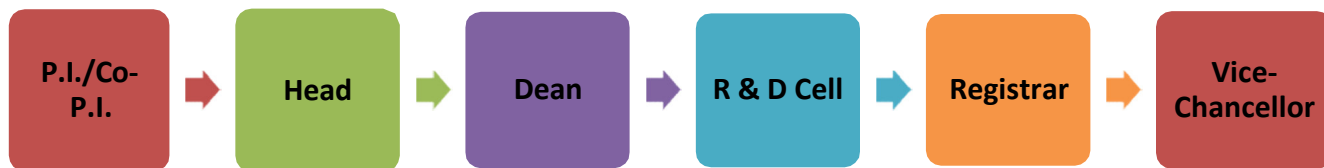
3. Submission of Research Project Proposals

- i.** All new research project proposals to be submitted to external agencies for funding must be sent to the R & D Cell through the proper channel as described below, 10 days before the last day of submission, which will be forwarded to the Vice Chancellor through Registrar for final approval after due screening and verification.



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The channel for submission of research project proposal will be as under:



Along with the draft of research project proposal the following documents must be submitted to the R & D Cell.

- i. List of Publications of P.I. and Co- P.I.
- ii. C.V. of P.I. and Co- P.I.
(As per the rules and regulations of funding agencies)
- iii. Check list of documents attached

4. University Seed Research Grant (USRG)

The primary objective of the University Seed Research Grant (USRG) is to promote faculty members by providing seed grants for creating basic research facilities necessary for carrying out their research work. This scheme will strengthen the University's research activity and promote young faculty members to apply for major research grants from various external funding agencies. The grant of seed money can be used for augmentation of the research lab facility required for generating preliminary data, procurement of basic equipment's accessories, and software etc. All the regular faculty members from departments or institutes of campus only, either alone or in collaboration, shall be eligible for this one-time funding provided they have not received such grant from the University earlier.

4.1 Nature of Financial Assistance

- i. Maximum three USRG may be given in a year.
- ii. The maximum grant to be sanctioned under the scheme shall be Rs. 05.00 lakhs per faculty member.
- iii. The appointment of staff (project assistant, JRF, etc.) shall not be permissible under this scheme.
- iv. The seed grant will be sanctioned once in the entire service period of a faculty member.
- v. Under this grant the purchase of minor equipment/consumables/chemicals/software etc, if any, will be made as per the existing University norms.



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4.2 Procedure for Application and Selection for the Seed Grant

- i. The University shall invite applications under the scheme by putting up a notice on the University website.
- ii. Eligible faculty members can apply, indicating objectives and plan of the research work, expected results and outcome of the work, along with the budget.
- iii. The applications shall be reviewed, and shortlisted researchers shall be invited for a presentation before the Expert Committee constituted for this purpose.

The Expert Committee will consist of:

- i. Director R & D
- ii. All Deans
- iii. One Expert nominated by VC

The Expert Committee shall give its recommendations to the Vice Chancellor whose decision shall be final and no objections shall be entertained in this regard.

5. Incentives for Publishing Patents

An appreciation certificate along with reward of Rs. 5000/- per patent and the actual patent fee may be given to residential regular faculty members/contractual faculty members/research students/ students of the University provided that the University is an applicant in such patents filed/submitted.

The following conditions will apply.

- i. Every patent filed by a faculty member during tenure of service in Dr. RML Avadh University, Ayodhya has to compulsorily include the name of the University as a joint applicant.
- ii. The applications for filing patent must be submitted to the Registrar through Director R& D Cell for final approval of the Vice-Chancellor.

6. Best Researcher Award

For promoting research publications in high-impact journals, the following provisions are made: Every Year the Best Researcher Award with a token money of Rs. 25,000 will be given to University's on campus regular/contractual faculty member. The screening will be done by the Expert Committee constituted for this purpose.



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Expert Committee members are:

- i.** Director R & D Cell
- ii.** All Deans

The recommendations of the committee will be forwarded to the Vice Chancellor for final approval.

Criteria for Evaluation for Best Researcher Award

Marks Classification	
(a)	Number of research publications during the year (January to December) R&D Cell of the University will retrieve the data from the Scopus (Elsevier) and Web of Science (Clarivate Analytics) databases. 02- marks per publication subject to maximum 05 publications per year.
(b)	01-mark UGC CARE & peer review subject to maximum 05 publications per year. Note: Distribution of marks amongst the authors will be carried out as per their weightage in the paper.
(c)	Research Grants Awarded through External Funding (More than 5 lakh) International Level: 10 marks per project National Level: 5 marks per project
(d)	Total citations accumulated in the year as per Scopus/ Web of Science/Google Scholar (per citation 1 mark subject to maximum 10 marks)
(e)	Patents (i) Patent Published (Jan to Dec): 5 marks per patent (ii) Patent Granted (Jan to Dec): 5 marks per patent (iii) Technology transfer or start-up (Jan to Dec): 5 marks per technology transfer

Note: Applications for all the awards (except Ph.D. scholarship) must be submitted to R&D Cell on the prescribed format along with all the supporting documents, before 31st January of every year.

7. Incentives for Research Projects

The University may provide prize money along with the certificate as an appreciation to the faculty members (to P.I. and Co-P.I.) who get an externally funded research project as per the



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following details:

Sanctioned Amount of Project	Appreciation Amount (in Rs.)
From 2.0 Lakhs to 5.0 Lakhs	10,000
Up to 10.0 Lakhs	20,000
Up to 20.0 Lakhs	40,000
Up to 30.0 Lakhs	60,000
Up to 100.0 Lakhs	2.0 Lakhs

In this regard, the following conditions will apply-

- i.** Prize money will be given once in tenure of Project.
- ii.** In the case of more than one investigator in the project, the prize money will be shared equally between P.I. and Co- P.I.
- iii.** The prize money will be given in the last year of the project when the University finally receives entire amount of the research grant.

8. Incentives for Publications of Quality Research Papers

The University will offer incentives to all regular and contractual faculty members, who publish their research in high-impact journals of SCI (Clarivate Analytics) and Scopus (Elsevier) as a first author/corresponding author as per the following criteria:

Table-A

S. No.	Range of Impact Factor/CS	Amount (in Rs.)
1	0.1 to 1	5,000
2	More than 1 to 2	8,000
3	More than 2 to 5	10,000
4	More than 5 to 10	12,000
5	More than 10 to 15	15,000
6	More than 15 to 20	18,000
7	More than 20 and above	20,000



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Table-B
(For distribution of incentives sharing for publishing research paper)

Authorship Status	Incentive Percentage
Single Author	100%
More than one author: if a faculty is First Author as well as Corresponding Author	70%
Two authors (One is First Author and other is Corresponding Author)	50% each
More than two authors: Both the First and Corresponding Authors from RMLAU	70% (to be shared equally)
Other than the First and Corresponding Author	30% (to be shared equally amongst all the co-authors from RMLAU)

9. Fellowship to Residential Ph.D. Students

In order to financially support the on campus full-time Ph.D. students, an institutional fellowship of Rs. 5000/- per month for a maximum period of two years may be granted to maximum 25 registered Ph.D. scholars per year who have qualified UGC/CSIR-NET examination, provided they are not getting any scholarship or fellowship from any other agency. The maximum period of two years will start from successful completion of course work and under no circumstances this period will be enrolled beyond two years. The procedure for the award of fellowship:

- i.** The applications are to be submitted to the R & D Cell.
- ii.** The last date of submission of applications will be within one month after the declaration of the result of course work of that session.
- iii.** The criterion for evaluation is given below.

Criteria	Weightage
NET Score	50%
PG Marks	30%
UG Marks	20%

On the basis of above criteria, merit list will be prepared and fellowship will be awarded only on merit basis.



10. Financial Support for Conducting Conferences/Seminars/Workshop/ FDP

To organize International and National conferences by any department/institute, a financial assistance may be provided by the University to the respective department/institute as per the following details. The department concerned should also bring funds from funding agencies to enhance the grants given by the University.

Type of Conference	Assistance (in Rs.)	Remarks
International	2.5 Lakhs	Only one in two consecutive years
National	1.5 lakhs	May be repeated in the consecutive year

Note: The proposals of planned conferences must be submitted to the Registrar along with objectives and detailed budget breakup, latest by 28th February of each year for conducting conference in the next academic session (July to June), for the approval of Vice Chancellor.

11. Financial Support to the Faculty Members for Presenting Research Papers

To present a research paper in the conferences organized by the recognized Universities/ Institutions, the regular/contractual faculty members (only one contributor of the research paper) may be provided a financial assistance as per the following details: For this purpose, the candidate should first apply for and explore grants from host and external funding agencies.

Type	Assistance Amount
Within India (twice in a year)	Actual expenditure on registration fees and travel (subject to maximum Rs. 25,000)
Outside India (Once in three years)	Actual expenditure on registration fees and travel as per following categories.
i. For South-East Asian Nations	Maximum Rs. 45,000



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ii. For European countries	Maximum Rs. 70,000
iii. For USA, Australia and Canada	Maximum Rs. 1,00,000

Note: All such appreciations will be counted through Registrar for approval of Vice Chancellor

12. Incentive for e-Content

The University may give incentives for development of e-content as follows-

MOOCs/e-Content	Incentive Amount (Rs.)
Development of complete MOOCs/e-Content in 4 quadrants (4 credit course) (In case of MOOCs of lesser credits 05 marks/credit)	20,000/-
Development of MOOCs/e-Content (developed in 4 quadrants) per module/ lecture	5,000/-
Development of content writer/subject matter expert for each module of MOOCs/e-Content (at least one quadrant)	2,000/-
Course Coordinator for MOOCs/e-Content (4 credit course) (In case of MOOCs/e-Content of lesser credits 02 marks/credit)	8,000/-

13. Security of Research Data

The following points are noteworthy here:

- i.** Research data that incorporates confidential information such as, personally identifiable human participant data, trade secrets etc. must be adequately secured and kept confidential.
- ii.** Suspected or proven disclosure or exposure of confidential or otherwise restricted data must be immediately reported to the University.

14. Access to Research Data

The following points are made:

- i.** The University has the right to access or take its custody of the data that is related to research performed at the University or under its auspices, or conducted using University facilities.



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- ii. When faculty members leave the University, they may take copies of research data for projects on which they have worked. The primary research data must be retained at the University unless the Vice-Chancellor specifically for the same.

15. Dispute Redressal and Resolution

These are broad policy guidelines and principles. It should be borne in mind that policy documents evolve in due course of time, spirit holds greater importance than words. All cases of lack of clarity on any issue, or any ambiguity, or subjectivity in interpretation, must be reported to the University, whose decision will be final and binding. The Vice-Chancellor may, at any point of time, call for its amendment or revision as deemed appropriate.

- 16.** This policy is applicable to only researchers of the university campus.

Note: The expenses for implementation of this policy will be borne out of the interest accrued on the corpus fund of Rs. 25 Crore created for research activities. The total expenses incurred in a year will not exceed the amount of the interest accrued on the corpus fund.

DR. RAVINDRA BHARDWAJ

DR. GEETIKA SRIVASTAVA

PROF. S. K. RAIZADA

TENTATIVE YEARLY EXPENDITURE AS PER THE INCENTIVES AGAINST R&D POLICY

Sl. No.	Name of Incentive	Tentative/ Fixed Number	Rate (in Rs.)	Expenditure (in Lakhs)
1.	VCSRG (Seed Money)	03 (Fixed)	5 lakhs	15 Lakhs
2.	Patent	15 (Tentative)	(5000+25,000) = 30,000	4.5 Lakhs
3.	Best Researcher Award	01	25,000	25,000
4.	Incentives for Research Project	25 (Tentative)		10 Lakhs
5.	Incentive for Quality Research Papers	50 (Tentative)		8 Lakhs
6.	Scholarship to Ph.D. Scholars	25+25=50 (Fixed)	5,000 per month	30 Lakhs
7.	Financial support for conducting conferences	25 (Tentative)	20 Lakhs	50 Lakhs
8.	Financial support to faculty for presenting papers in conferences			60 Lakhs
9.	Development of MOOC/ E-Content			5 Lakhs
Total				182.75 Lakhs (Rs. One Crore Eighty Two lakh and Seventy- Five Thousand)